

## WO9966673

Publication Title:

METHOD AND APPARATUS FOR A CLIENT-SERVER SYSTEM WITH HETEROGENEOUS CLIENTS

Abstract:

Method and apparatus to deliver an application to a client through a transmission medium. The application can be an interactive application, and the apparatus includes an appliance-specific transducer and an adaptive-transmission transducer. The appliance-specific transducer, in view of the client's request for the interactive application in the server, is configured to modify the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output. Based on at least one characteristic of the application and at least one characteristic of the medium of transmission, the adaptive-transmission transducer is configured to modify the appliance-specific output to generate an adapted output. The output is delivered through the medium to the client, which includes a decoder and a user-interface. The decoder decodes the adapted output to produce a modified version of the interactive application to be used by a party through the user-interface. In another embodiment, the application can be a push application.

-----  
Data supplied from the esp@cenet database - <http://ep.espacenet.com>



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : <b>H04L 12/28, G06F 17/30</b></p>	<b>A1</b>	<p>(11) International Publication Number: <b>WO 99/66673</b></p> <p>(43) International Publication Date: 23 December 1999 (23.12.99)</p>																																				
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>(21) International Application Number: <b>PCT/US99/12955</b></p> <p>(22) International Filing Date: 11 June 1999 (11.06.99)</p> <p>(30) Priority Data: 09/098,670 17 June 1998 (17.06.98) US</p> <p>(71) Applicant: ONLINE ANYWHERE [US/US]; Building A, Suite 202, 3145 Porter Drive, Palo Alto, CA 94304 (US).</p> <p>(72) Inventors: VISHWANATH, Mohan; 537 Tarter Court, San Jose, CA 95136 (US). MENDHEKAR, Anurag; 946 Tamarack Lane #11, Sunnyvale, CA 94086 (US).</p> <p>(74) Agents: FLIESLER, Martin, C. et al.; Fliesler, Dubb, Meyer and Lovejoy LLP, Suite 400, Four Embarcadero Center, San Francisco, CA 94111-4156 (US).</p> </div> <div style="width: 48%;"> <p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> </div> </div>																																						
<p>(54) Title: METHOD AND APPARATUS FOR A CLIENT-SERVER SYSTEM WITH HETEROGENEOUS CLIENTS</p>																																						
<table border="1" style="margin: auto; border-collapse: collapse;"> <thead> <tr> <th style="padding: 5px;">Applications → Appliances ↓</th> <th style="padding: 5px;">Browsing the Web</th> <th style="padding: 5px;">Email</th> <th style="padding: 5px;">Document Browsing and Editing</th> <th style="padding: 5px;">E-Commerce</th> <th style="padding: 5px;">Searching on the Internet</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Television</td> <td style="padding: 5px;">DocTV, InternetTV</td> <td style="padding: 5px;">EmailTV, DocTV</td> <td style="padding: 5px;">DocTV</td> <td style="padding: 5px;">DocTV, FormTV</td> <td style="padding: 5px;">DocTV, FormTV</td> </tr> <tr> <td style="padding: 5px;">Limited Display PDA</td> <td style="padding: 5px;">DocPDA, InternetPDA</td> <td style="padding: 5px;">EmailPDA, DocPDA</td> <td style="padding: 5px;">DocPDA</td> <td style="padding: 5px;">DocPDA, FormPDA</td> <td style="padding: 5px;">DocPDA, FormPDA</td> </tr> <tr> <td style="padding: 5px;">Portable Reader</td> <td style="padding: 5px;">DocPDR, InternetPDR</td> <td style="padding: 5px;">EmailPDR, DocPDR</td> <td style="padding: 5px;">DocPDR</td> <td style="padding: 5px;">DocPDR, FormPDR</td> <td style="padding: 5px;">DocPDR, FormPDR</td> </tr> <tr> <td style="padding: 5px;">Standard Phone</td> <td style="padding: 5px;">DocPhone, InternetPhone</td> <td style="padding: 5px;">EmailPhone, DocPhone</td> <td style="padding: 5px;">DocPhone</td> <td style="padding: 5px;">DocPhone, FormPhone</td> <td style="padding: 5px;">DocPhone, FormPhone</td> </tr> <tr> <td style="padding: 5px;">Phone with Display</td> <td style="padding: 5px;">DocSPhone, InternetSPhone</td> <td style="padding: 5px;">EmailSPhone, DocSPhone</td> <td style="padding: 5px;">DocSPhone</td> <td style="padding: 5px;">DocSPhone, FormSPhone</td> <td style="padding: 5px;">DocSPhone, FormSPhone</td> </tr> </tbody> </table> <div style="display: flex; justify-content: center; margin-top: 10px;"> <div style="text-align: center; margin-right: 20px;"> <p>200 202</p> </div> <div style="text-align: center; margin-right: 20px;"> <p>204</p> </div> <div style="text-align: center;"> <p>206</p> </div> </div>			Applications → Appliances ↓	Browsing the Web	Email	Document Browsing and Editing	E-Commerce	Searching on the Internet	Television	DocTV, InternetTV	EmailTV, DocTV	DocTV	DocTV, FormTV	DocTV, FormTV	Limited Display PDA	DocPDA, InternetPDA	EmailPDA, DocPDA	DocPDA	DocPDA, FormPDA	DocPDA, FormPDA	Portable Reader	DocPDR, InternetPDR	EmailPDR, DocPDR	DocPDR	DocPDR, FormPDR	DocPDR, FormPDR	Standard Phone	DocPhone, InternetPhone	EmailPhone, DocPhone	DocPhone	DocPhone, FormPhone	DocPhone, FormPhone	Phone with Display	DocSPhone, InternetSPhone	EmailSPhone, DocSPhone	DocSPhone	DocSPhone, FormSPhone	DocSPhone, FormSPhone
Applications → Appliances ↓	Browsing the Web	Email	Document Browsing and Editing	E-Commerce	Searching on the Internet																																	
Television	DocTV, InternetTV	EmailTV, DocTV	DocTV	DocTV, FormTV	DocTV, FormTV																																	
Limited Display PDA	DocPDA, InternetPDA	EmailPDA, DocPDA	DocPDA	DocPDA, FormPDA	DocPDA, FormPDA																																	
Portable Reader	DocPDR, InternetPDR	EmailPDR, DocPDR	DocPDR	DocPDR, FormPDR	DocPDR, FormPDR																																	
Standard Phone	DocPhone, InternetPhone	EmailPhone, DocPhone	DocPhone	DocPhone, FormPhone	DocPhone, FormPhone																																	
Phone with Display	DocSPhone, InternetSPhone	EmailSPhone, DocSPhone	DocSPhone	DocSPhone, FormSPhone	DocSPhone, FormSPhone																																	
<p>(57) Abstract</p> <p>Method and apparatus to deliver an application to a client through a transmission medium. The application can be an interactive application, and the apparatus includes an appliance-specific transducer and an adaptive-transmission transducer. The appliance-specific transducer, in view of the client's request for the interactive application in the server, is configured to modify the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output. Based on at least one characteristic of the application and at least one characteristic of the medium of transmission, the adaptive-transmission transducer is configured to modify the appliance-specific output to generate an adapted output. The output is delivered through the medium to the client, which includes a decoder and a user-interface. The decoder decodes the adapted output to produce a modified version of the interactive application to be used by a party through the user-interface. In another embodiment, the application can be a push application.</p>																																						

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TC	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

- 1 -

## **METHOD AND APPARATUS FOR A CLIENT-SERVER SYSTEM WITH HETEROGENEOUS CLIENTS**

5           This is a continuation-in-part of co-pending U.S. Patent Application S/N 08/970,735, filed on November 14, 1997, and incorporated by reference into this specification.

### **BACKGROUND OF THE INVENTION**

10

          The present invention relates generally to a client-server technology and more particularly to a client-server system with the application delivered to the client being automatically modified based on the resources available.

15

          A server computer may deliver applications to many different types of clients through many different types of media. For example, the server can be delivering a page to a printer through a cable, a note to a pager through radio waves, an audio message to a cellular phone through microwave, a web page to a Internet enabled computer or  
20   a palmtop through infrared radiation, a movie to a television through optical fibers, or an on-off command to the switch of a microwave oven or the central air-conditioning system of a house through twisted pairs.

          Each of these clients or appliances have different capabilities. Some of them can  
25   have relatively powerful microprocessors and memory capacity, such as a palmtop. Others can be ultra-thin clients with minimal computation and memory power, such as a television. The delivery media can be very different. For example, some have large bandwidth, such as optical fibers; but others have low bandwidth, such as twisted pairs. Also, the applications can be quite diverse. For example, a movie requires large

- 2 -

bandwidth, low latency, and is quite tolerant to error rates; but an on-off command to a switch has to be absolutely accurate, though the bandwidth requirement is low.

5        There is typically a specific approach to deliver each type of application through a specific medium to a specific client. For example, if you want to print a page, you will activate a specific printer driver to print that page; and if you want to send a web-page to your Internet enabled computer, your web-page is compressed by a certain pre-determined ratio and is transmitted following a specific network protocol. Different software programs are called by the server to accomplish such diverse tasks.

10

      Whether an Internet enabled computer or a television requests for an interactive application, which is an application that you can interact with, such as a web-page, the server typically follows the same preset network protocol to deliver the interactive application. In other words, if, for example, the transmission medium is changed after  
15        the protocol is preset, the server does not have the intelligence to modify the protocol dynamically in view of the change. There is no intelligent adaptability on the part of the server. The server would not know or would not care that a user is sitting one foot away from the web-page on the Internet enabled computer, or is sitting six feet away from the web-page on the television.

20

      Not only does the server lack adaptability, the server would not be able to respond to certain requests from certain clients. For example, the application is a push application, which is an application determined by a user based on her profile, such as quoting the stock price of XEROX at 1 PM everyday. A server would not be able to  
25        respond automatically to both a pager requesting for a stock quote, and a standard telephone requesting for a weather report. The way it is typically done nowadays is to have a separate server dedicated to handle each of these tasks.

- 3 -

It should be apparent from the foregoing that there is still a need for an apparatus and method to automatically handle such diverse applications, client capabilities and transmission media, such that different applications can be automatically modified based on the resources available from the transmission media and the clients.

- 4 -

**SUMMARY**

5       The present invention is on methods and apparatus that automatically modify  
an application in view of one or more of the following: the application, and the  
capability of the client requesting the application and the transmission medium  
delivering the application. The client can be a palmtop computer showing your new  
appointment of the day, a microwave oven automatically being turned on at 6 PM  
to cook your chicken, or a television showing your favorite James Bond movie.  
10      Each of these clients has different capabilities connected to different transmission  
media, and each application has its characteristics. Based on this invention, the  
server computer automatically modifies the specific application in view of the  
resources available so as to maximize the benefits of the resources.

15       In one embodiment, the application can be of an interactive type, such as a  
web-page, which a user can interact with. This embodiment includes a server  
apparatus in a server. The server apparatus includes an appliance-specific transducer  
and an adaptive transmission transducer. The appliance-specific transducer, in view  
of a client's request for an interactive application in the server, is configured to  
20      modify the application based on the client and the application to generate an  
appliance-specific output. This output is modified automatically depending on the  
client and the application itself. The adaptive transmission transducer, which is  
coupled to the appliance-specific transducer, is configured to modify the appliance-  
specific output. The modification is again based on the application and the  
25      corresponding medium of transmission. After the modification, an adapted output  
is generated, and is delivered through the medium to the client. Then, the client  
decodes the adapted output to produce a modified version of the interactive  
application that is adapted for the client.

- 5 -

In another embodiment, the application can be of a push type. A push application is an application that is pre-determined by a user, such as from the user's profile. Based on her preference, as shown in her profile, specific applications can be delivered to her. For example, during weekends, she prefers to wake up at 9 am,  
5 and she likes music by the Beatles. So at 9 am every Saturday and Sunday, the server selects a song by the Beatles, compresses it, and delivers it to her speaker next to her bed for fifteen minutes. This embodiment also includes a server apparatus, with its corresponding appliance-specific transducer and adaptive transmission transducer.

10 Yet another embodiment includes a client apparatus in a client. The client apparatus includes a decoder for generating the modified version of an application from an adapted output. The adapted output is generated by one of the above described appliance-specific transducer and adaptive transmission transducer

15 Based on the present invention, applications are automatically mapped onto various clients based on the resources available. In one embodiment, the resources include the bandwidth, acceptable error rates, and the latency of the transmission medium, and the processing power and memory capacity of a client.

20 With the present invention, different types of clients can be connected through the same transmission medium, such as a high speed Internet connectivity. The server can modify the applications based on the clients receiving them and based on the applications. Also, with the present invention, different types of clients can  
25 be connected through different media, such as wired and wireless, digital and analog; and the server can adapt the applications accordingly.



- 6 -

The client can be ultra-thin, with minimal computing power and memory capacity because the server has already adapted or modified the application for the client. In other words, the client can be a very low-cost device.

5           Moreover, since applications can be modified by the server to fit specific clients, the same content materials can be used for different clients. For example, the present invention can use the same Internet content for an Internet enabled computer and a television. And these contents can be delivered to different clients in real time.

10           With the present invention, development time to adapt different applications to a new type of client can be significantly reduced. Changes can be done through software modification based on the present invention. This, in turn, significantly enables widespread deployment of a new type of client into the market place quickly.

15           Note that the language used in the specification has been principally selected for readability and instructional purposes, and may not have been selected to delineate or circumscribe the inventive subject matter. Also, the features and advantages described in the specification are not all-inclusive. Other aspects and  
20           advantages of the present invention will become apparent to one of ordinary skill in the art, in view of the specification, which illustrates by way of example the principles of the invention.

- 7 -

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows examples of applications applicable to the present invention.

5           FIG. 2 shows an example of the server side and the client side of the present invention connected through a transmission medium.

FIG. 3 shows one set of steps to implement one embodiment of the invention.

10           FIG. 4 shows examples of appliance-specific transducers in the present invention.

FIG. 5 shows one embodiment of the adaptive transmission transducer of the present invention.

15

FIG. 6 shows examples of the characteristics of transmission media for the present invention.

20           FIG. 7 shows examples of ways to select the compression algorithms based on the computation power of the client and the input data type in the present invention.

FIGS. 8A-B show examples of network protocols selected by the adaptive packetizer under different conditions in the present invention.

25           FIGS. 9A-B illustrate an example of a decision tree of the present invention for a Web browsing application.

- 8 -

Same numerals in Figures 1-9 are assigned to similar elements in all the figures. Embodiments of the invention are discussed below with reference to Figures 1-9. However, those skilled in the art will readily appreciate that the detailed description given herein with respect to these figures is for explanatory purposes as the invention  
5 extends beyond these limited embodiments.

- 9 -

## DETAILED DESCRIPTION OF THE INVENTION

The present invention is applicable to a server-client environment. The server can be connected to one or more clients through the corresponding transmission media.

5 The server can be a personal computer or other types of computers, typically with more computation power and memory than the clients. The clients can be many different types of computing engines; for example, they can be an Internet enabled computer, a television, a palmtop, a microwave oven, a telephone, or a pager. Thus, the capabilities of a client can be quite flexible, as long as it has a decoder to decode the information

10 delivered by the server. In one embodiment, the clients also have some form of user-interface apparatus to communicate the decoded information to a user.

In one example, a client requests for an application. This can be done by a user activating his set-top box. He might want to get to YAHOO's web page through his

15 television. This type of application is known as an interactive application, 102 in FIG. 1. In such an application, a user can interact with the application. This is different from just passively watching a STAR TREK episode on the television, because a user cannot change anything in the episode. Anyway, the request is transmitted to the server, which will access the web page. Then the server adapts the accessed web page for the

20 television, with the adaptation depending on a number of factors; for example, the web page itself, such as whether the web page contains text, images, video or some combination thereof; and the resources available, such as the transmission medium carrying the web page to the client, and the capability of the client. In one embodiment, the web page is modified based on MPEG1 specifications and the RTP network

25 protocols, and is transmitted through an Internet connection from the server to the set-top box of the television. In this case, the client is the television and the set-top box, which receives the transmitted signal, and decodes it. The decoded signals are typically a modified version of the original application. In other words, the decoded application

- 10 -

is not exactly the same as the application in the server. Then the set-top box shows the web page on the television, allowing the user to interact with it.

In another embodiment, a user initially enters his preference to the server. This  
5 can be the score of the LA Lakers basketball team at the end of each of their games to be shown on her pager screen. Such piece of information is also known as a push application, 104 in FIG. 1; it is preset by the user. When a score is available, the server can deliver it to her pager through radio wave. Again the application can be adapted based on at least one characteristic of the application itself and the resources available.

10

FIG. 2 illustrates one embodiment of the server side and the client side of the present invention connected through a transmission medium 154. FIG. 3 shows one set  
175 of steps to implement one embodiment of the invention.

15 In one embodiment, the server side includes an appliance-specific transducer 150 and an adaptive-transmission transducer 152. In general terms, the appliance-specific transducer 150 modifies (step 177) an application based on the capability of the client; and the adaptive-transmission transducer 152 further modifies (step 181) the application based on the transmission medium 154. The modification can also depend on the  
20 application itself, for example, an audio signal is modified in a different way as compared to a piece of written text. In one embodiment, the client includes a decoder 156 and a user-interface 158. The decoder 156 interprets or decodes (step 185) the delivered signal, which, may have previously been tailored to the capability of the decoder 156. Then, the user-interface 158 presents the modified application 187 to a user.

25

In one embodiment, the appliance-specific transducer 150 modifies an application based on at least one characteristic of the application in conjunction with the

- 11 -

client. For illustration purposes, there are four different types of applications; and they are:

- (a) Document or Doc, which includes text and images in their corresponding formats.
- 5 (b) Internet, which includes streaming multimedia and plugins.
- (c) Email.
- (d) Form, which includes different types of forms with different formats for a user to enter information into.

Each of these applications has its characteristics, and can be modified differently  
10 depending on the client.

FIG. 4 shows examples of appliance-specific transducers 150 in the present invention. In this specification, a term with an application appended by a client designates an appliance-specific transducer 150 for that application and that client. For  
15 example, a DocTV designates an appliance specific transducer for modifying a document for television viewing. This transducer can include a number of sub-transducers, such as a format sub-transducer for TV to change the format of the image depending on the television. This can be changing the size of the text so that a user six feet away can see the text. So there can be one or more of such sub-transducers to  
20 adapt the application for television viewing. Note that television is typically watched six or more feet away, while a computer monitor is less than one foot away from a viewer. Other sub-transducers, depending on needs, can be a text sub-transducer to change the text characteristics based upon the client, an image sub-transducer to change the image characteristics based upon the client's display capabilities, and a user-interface sub-  
25 transducer to enhance the usability of the application on the client. The appliance-specific transducer 150 can add one or more sub-transducers dynamically to modify the application accordingly.

- 12 -

Figure 4 shows additional examples of such sub-transducers. For example, if one is browsing the Web using a phone with a display, the appliance-specific transducer 150 can be a DocSPhone, 200, with S in SPhone denoting screen, or an InternetSPhone, 202. The DocSPhone is used if one is just using the phone to passively receive messages, while the InternetSPhone is used if one is using the phone to interact with the messages, as in interacting with a Web page. For another example, if one is using the phone to retrieve email, the appliance-specific transducer 150 can be an EmailSPhone, 204, or a DocSPhone. The EmailSPhone, 204, has additional intelligence in that the phone can have a software management system for email. As a final example, if the phone is used for electronic commerce or E-Commerce, the appliance-specific transducer 150 can be a FormSPhone, 206, which can allow a user to edit a form, as in ordering a book from the Web.

There are different ways to produce such appliance-specific transducers 150. One way is based on methods and apparatus disclosed in the co-pending U.S. Patent Application S/N 08/970,735, filed on November 14, 1997, to generate such transducers dynamically. Another method can be through direct mapping. For example, a page in HTML can be changed or mapped directly into a bit-map.

In one embodiment, the output from the appliance-specific transducer 150 is an appliance-specific output 179, which is further modified by an adaptive-transmission transducer 152.

The adaptive-transmission transducer 152, based on the characteristics of the application and the transmission medium 154, modifies the appliance-specific output 179 to generate an adapted output 183. In one embodiment, the compression algorithm and the network protocol to be operated on the appliance-specific output 179 depend on at least one characteristic of the application, such as the reliability or error rate of the

- 13 -

application required, and the speed or bandwidth of the transmission medium 154. After the appliance-specific output 179 is modified to generate the adapted output 183, the server sends the adapted output 183 through the transmission medium to the client.

5           FIG. 5 shows one embodiment of the adaptive-transmission transducer 152 of the present invention. It includes a multimode compressor 250 and an adaptive packetizer 252. The compressor selects the appropriate compression algorithm to compress the appliance-specific output 179, while the adaptive packetizer 252 selects the network protocol to generate the adapted output 183.

10

FIG. 6 shows examples of the characteristics of transmission media for the present invention. The different characteristics can be in the bandwidth, error rates and latency. Latency represents how long a client has to wait for an application. A medium with a high error rate implies that data going through such a transmission medium 154 tends to have more errors. To compensate for such deficiencies, an application might have to be re-sent to ensure the right signal has been received. This increases latency. For example, an analog signal, 300, transmitted through air--a wireless analog signal--has high error rate, 302, because signal interference can be high. Since the signals might have to be transmitted a number of times to ensure accuracy, the latency of the signal increases, 304. Depending on the application and the transmission medium 154 to be used, the adaptive-transmission transducer 152 automatically takes into account such variations, for example, by selecting the appropriate compression algorithm and network protocol.

25           In one embodiment, the multimode compressor 250 automatically selects the compression algorithm in view of the application, the transmission medium 154 and the client. The higher the form of data to be sent from the server to the client, the lower the bandwidth required, but the more computation power the client has to have. For



- 14 -

example, with respect to ASCII text (a higher form data) and bitmap (a lower form data), the ASCII text document takes less bandwidth to be transmitted, but the client needs the decoding capability to understand the ASCII text. On the other hand, if the document is sent in bitmap, the client displays whatever is received, but the amount of data sent increases significantly. If the client has the capability to decode compressed ASCII text, and if the transmission medium 154 has a small bandwidth, then it is better to compress the ASCII text before transmission. This ensures a more efficient use of the resources.

10           In one embodiment, the parameters used to choose the compression algorithm include:

(a) Input data type, whether it is text, graphics, natural images, audio or video. Note that the application can have a number of different input data type, such as there can be text and images on the same page.

15           (b) Rate-distortion requirement, or the compromise between bandwidth versus quality.

(c) The transmission medium 154, which affects the bandwidth, error rate and latency.

(d) Capabilities of the server and the client, such as the processing power and memory available.

20           A number of compression algorithms are applicable to this invention. They include Lempel-Ziv (LZ), Run Length Encoding (RLE), JPEG, Hierarchical Vector Quantization (HVQ), ADPCM, MPEG1, MPEG2, H.263 and Hierarchical Vector Quantization with Conditional Replenishment (HVQCR).

25           FIG. 7 shows ways to select the compression algorithms based on the input data type and the computation power of the client because the decoder 156 might need to decompress the adapted output 183. Note that the computation power of the server may also have to be taken into account because it is the server, or the multimode

- 15 -

compressor 250, that is performing the compression. However, typically, the client is not as powerful as the server. If the client has sufficient power to decompress, the server should have sufficient power to compress.

5           In one embodiment, the adaptive-transmission transducer 152 not only selects the compression algorithm, it also selects the network protocol. This can be done by the adaptive packetizer 252. To illustrate the present invention, three protocols are considered, and they are TCP, UDP and RTP.

10           FIGS. 8A-B show examples of network protocols selected by the adaptive packetizer 252 under different conditions, with FIG. 8A for low-error rates and FIG. 8B for high-error rates. In one embodiment, the selection again is done in an adaptive manner, with the choice made depending on the data type of the application and the transmission medium 154. For example, if the application is a piece of text and has to  
15           be accurate, then error rate has to be low. If the transmission medium has inherently high latency, then as shown in FIG. 8A, UDP is selected. On the other hand, if the application is a video stream, then error rate can be high, but latency should be low because a viewer's enjoyment level drops if there are detectable gaps between frames. Based on FIG. 8B, RTP is selected.

20           In one embodiment, the client can be ultra-thin. It only needs minimal computing power and memory capacity because the server has already adapted or modified the application for the client. Such type of clients can be very low cost. An example of a ultra-thin client is a TV set top box that is capable of handling  
25           powerful applications, such as streaming audio and video, Java applets and ActiveX controls. Such a box may only require the computing power of a 16 MHz 80386 microprocessor, with 1 Mbyte memory, and with embedded software that can fit into 100 KBytes memory.

- 16 -

FIGS. 9A-B illustrate an example of a decision tree of the present invention for a Web browsing application 400. As shown in FIG. 9A, if the appliance or the client is a television 402, then the appliance-specific transducer 150 will select the sub-transducers of a DocTV and an InternetTV. The output from the appliance-specific transducer 150 is further modified, as shown in FIG. 9B. For example, a part of the application is a piece of text, so the data type, 404, is text, 406. Also, the transmission medium 154, or the connection 408, is wired LAN, 410, with bandwidth of 10 mega bits per second (Mbps). Further assume that the computing power, 412, of the appliance is low, such as less than 10 million instructions per second (Mips). Then, following the decision tree, the adaptive-transmission transducer 152 will select RLE as the compression algorithm and UDP as the network protocol. After the modification, the output will be transmitted through the wired LAN to the appliance, which in this case is a television 402.

Another embodiment of the invention includes a new type of network protocol. It is an application layer protocol, known as VAP. It runs on top of network protocols, such as the TCP, UDP or RTP. VAP includes two packet types, the Rendered Data Object (RDO) and the Client Data Object (CDO). RDOs are sent from the server to the client in response to a CDO request.

The RDO characteristics include the following:

- (a) Each RDO packet is self-contained in that it is possible to decode and reproduce a portion of the output based upon each RDO separately. Such a feature is especially suitable to situations with high latency or high error rates. It also permits packets to be delivered out of order.
- (b) Each RDO packet contains complete information about the active areas of the screen and corresponding actions.
- (c) RDO packets contain information about the compression algorithm used.

- 17 -

- 5 (d) VAP permits incremental reconstruction of the output at the client. This is applicable to implement smart-loading which improves the user experience by providing them with some data quickly. RDO packets contain information about the layer of the reconstruction including transparency information.

The CDO characteristics include the following:

- 10 (a) Each CDO packet contains an appliance-id, which identifies the client and is specified by the VAP. It is for determining the capabilities of the client so as to allow the server to respond accordingly.
- (b) Each CDO packet contains an action and corresponding data.

15 Other embodiments of the invention will be apparent to those skilled in the art from a consideration of this specification or practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with the true scope and spirit of the invention being indicated by the following claims.

- 18 -

## CLAIMS

1. A server apparatus in a server for delivering an interactive application to a client through a transmission medium, the apparatus comprising:
  - 5 an appliance-specific transducer, in view of the client's request for the interactive application, configured to modify the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and
  - an adaptive-transmission transducer coupled to the appliance-specific
  - 10 transducer, configured to modify the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the interactive application.
- 15 2. A server apparatus as recited in Claim 1 wherein the adaptive-transmission transducer includes a compressor to compress the appliance-specific output, and a packetizer to set the network protocol for the appliance-specific output.
- 20 3. A server apparatus as recited in Claim 2 wherein the compression applied by the compressor depends on the capability of the client.
4. A server apparatus as recited in Claim 2 wherein the compression applied by the compressor depends on the bandwidth of the transmission medium.
- 25 5. A server apparatus as recited in Claim 2 wherein the compression applied by the compressor depends on the error rate of the transmission medium.

- 19 -

6. A server apparatus as recited in Claim 2 wherein the protocol set depends on the desired error rates and latency in view of the application.

7. A server apparatus in a server for delivering a push application to a client through a transmission medium, the apparatus comprising:

an appliance-specific transducer configured to modify the push application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

an adaptive-transmission transducer coupled to the appliance-specific transducer, configured to modify the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission, to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the push application.

8. A client apparatus in a client for generating a modified version of a push application received from a server through a transmission medium, the apparatus comprising:

a decoder for generating the modified version of the push application from an adapted output, which is generated by a server apparatus in the server,

such that the server modifies:

the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

the appliance-specific output based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate the adapted output to be delivered through the medium to the client.

- 20 -

9. A client apparatus in a client for generating a modified version of an interactive application received from a server through a transmission medium, the apparatus comprising:

a decoder for generating the modified version of the interactive application from an adapted output, which is generated by a server apparatus in the server;

such that the server apparatus:

in view of the client's request for the interactive application, modifies the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

modifies the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission, to generate the adapted output to be delivered through the medium to the client.

10. A method of modifying an interactive application by a server, to be delivered to a client through a transmission medium, the method comprising the steps of:

modifying, in view of the client's request for the interactive application, the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

modifying the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the interactive application.

11. A method of modifying a push application by a server, to be delivered to a client through a transmission medium, the method comprising the steps of:

- 21 -

modifying the push application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

5        modifying the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission, to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the push application.

12.     A method of generating by a client a modified version of a push application  
10        received from a server through a transmission medium, the method comprising the step of:

      decoding an adapted output to generate the modified version of the push application, wherein the adapted output is generated by:

15        modifying the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

      modifying the appliance-specific output based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate the adapted output to be delivered through the medium to the client.

20

13.     A method of generating by a client a modified version of an interactive application received from a server through a transmission medium, the method comprising the step of:

25        decoding an adapted output to generate the modified version of the interactive application, wherein the adapted output is generated by:

      modifying, in view of the client's request for the interactive application, the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and



- 22 -

modifying the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate the adapted output to be delivered through the medium to the client.

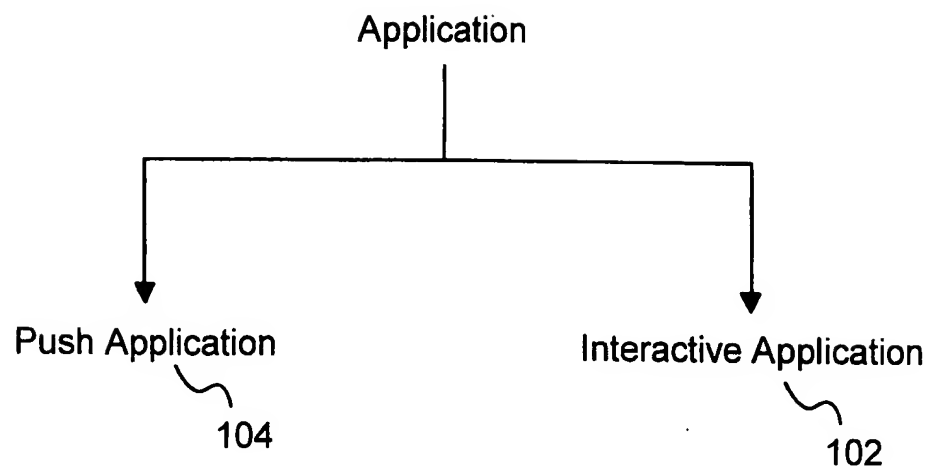


Figure 1

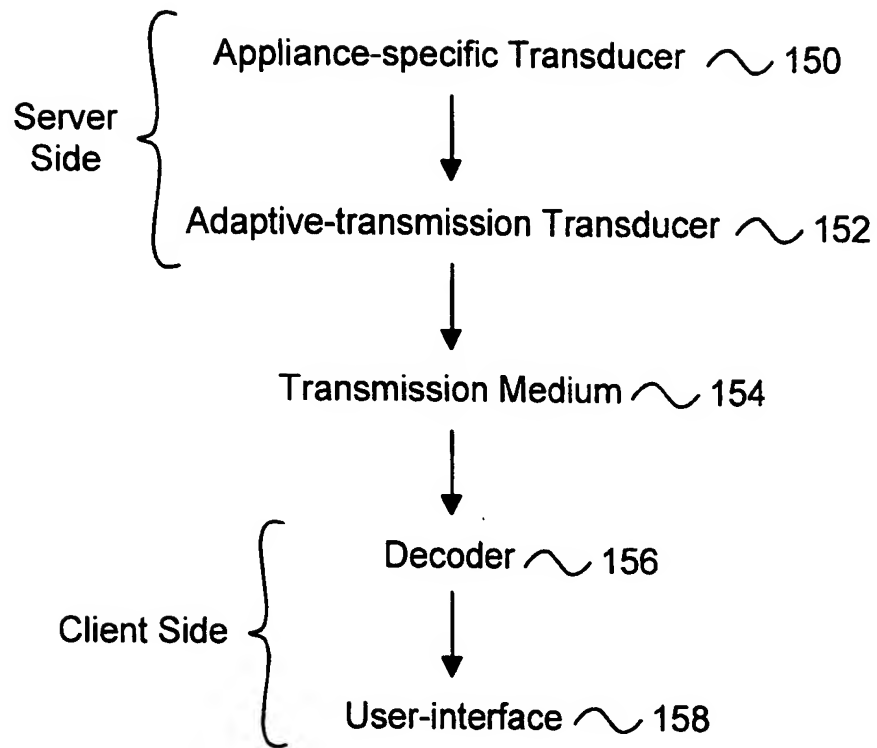


Figure 2

3/11

~ 175

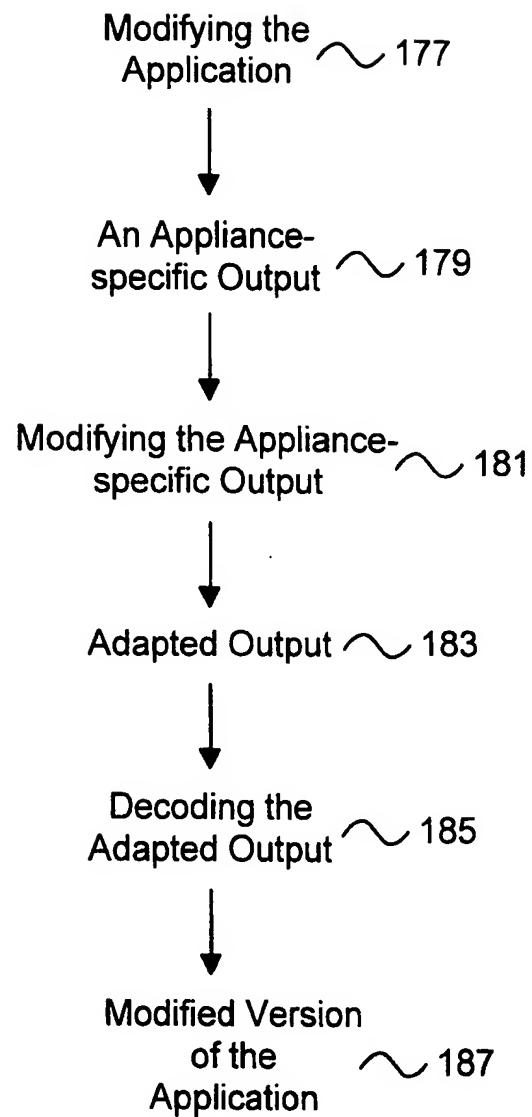


Figure 3

Applications $\leftrightarrow$ Appliances $\downarrow$	Browsing the Web	Email	Document Browsing and Editing	E-Commerce	Searching on the Internet
Television	DocTV, InternetTV	EmailTV, DocTV	DocTV	DocTV, FormTV	DocTV, FormTV
Limited Display PDA	DocPDA, InternetPDA	EmailPDA, DocPDA	DocPDA	DocPDA, FormPDA	DocPDA, FormPDA
Portable Reader	DocPDR, InternetPDR	EmailPDR, DocPDR	DocPDR	DocPDR, FormPDR	DocPDR, FormPDR
Standard Phone	DocPhone, InternetPhone	EmailPhone, DocPhone	DocPhone	DocPhone, FormPhone	DocPhone, FormPhone
Phone with Display	DocSPhone, InternetSPhone	EmailSPhone, DocSPhone	DocSPhone	DocSPhone, FormSPhone	DocSPhone, FormSPhone

200 202 204 206

Figure 4

5/11

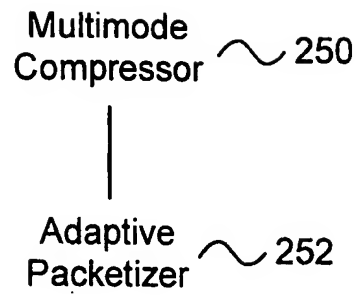


Figure 5

Characteristics $\rightarrow$ Transmission $\downarrow$	Bandwidth	Error Rate	Latency
LAN-Category 5	High	Low	Medium
IEEE 1394	Very High	Low	Low
Universal Serial Bus	High	Low	Low
Phone Line (DSL)	High	Low	Medium
Power Line (Analog)	Low	High	Low
Power Line (Digital)	Low to Medium	Medium	Medium
Wireless (Analog 900 MHz)	Low	High	Low
Wireless (Digital 900 MHz)	Low	Medium	Medium
Wireless (Analog 2.4 GHz)	Medium	Medium	Low
Wireless (Digital 2.4 GHz)	Medium	Low	Medium
Wireless (Low Bandwidth Digital)	Low	Medium	Medium

300

302

304

Figure 6

7/11



Input Type  Compute Power 	Text	Graphics	Natural Image	Audio	Video
Low	RLE	RLE	HVQ	ADPCM	HVQCR
Medium	LZ	LZ	JPEG	MPEG1-Audio	MPEG1
High	LZ	LZ	JPEG	MPEG2-Audio	MPEG2/H.263

Figure 7



Input Type Channel	Text	Graphics	Natural Image	Audio	Video
Lower Latency	TCP/UDP	TCP/UDP	TCP/UDP	RTP	RTP
Higher Latency	UDP	UDP	UDP	RTP	RTP

Figure 8A


Input Type Channel		Text	Graphics	Natural Image	Audio	Video
Lower Latency		TCP	TCP	TCP	RTP	RTP
Higher Latency		TCP	TCP	TCP	RTP	RTP

Figure 8B

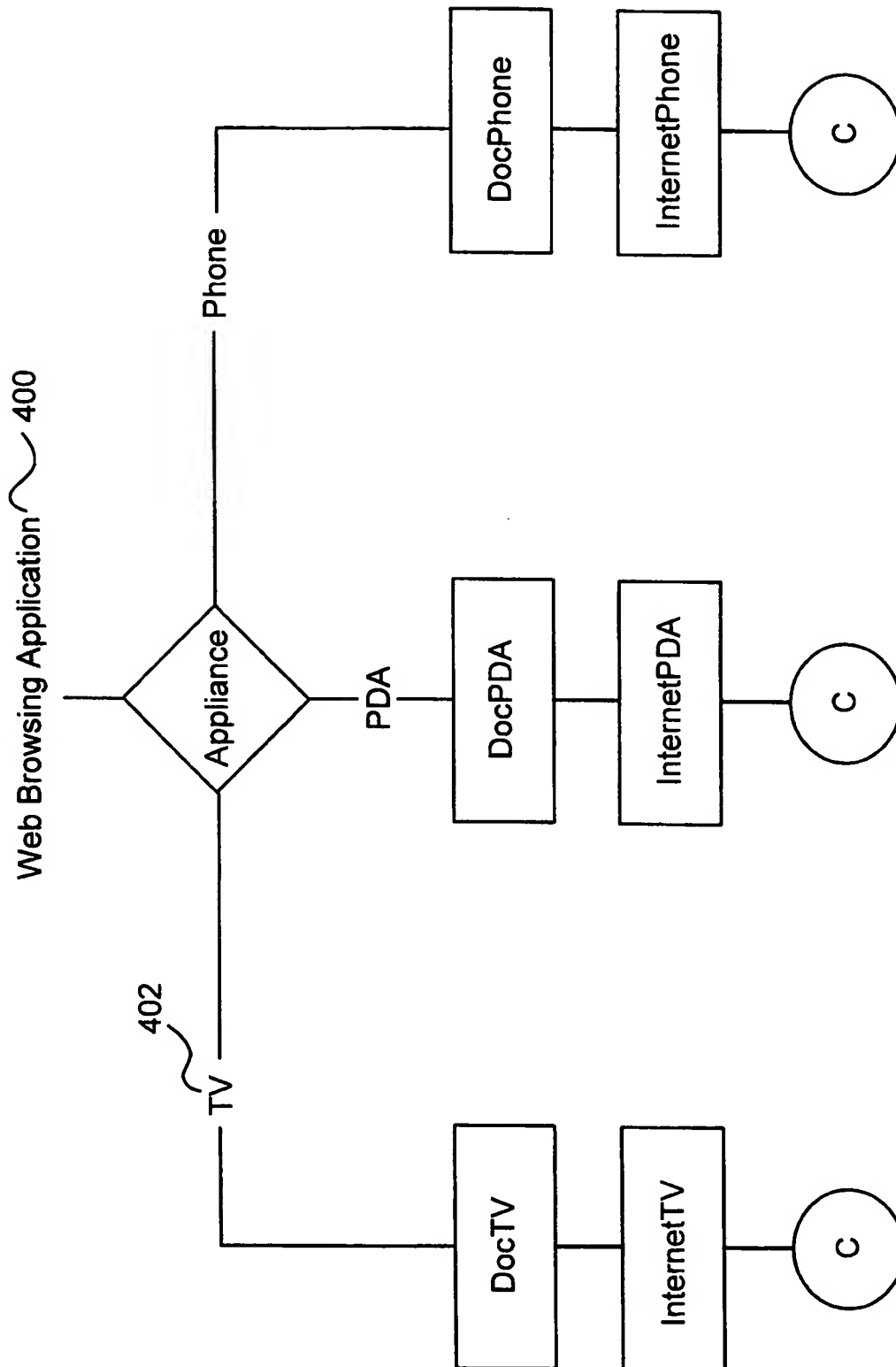


Figure 9A

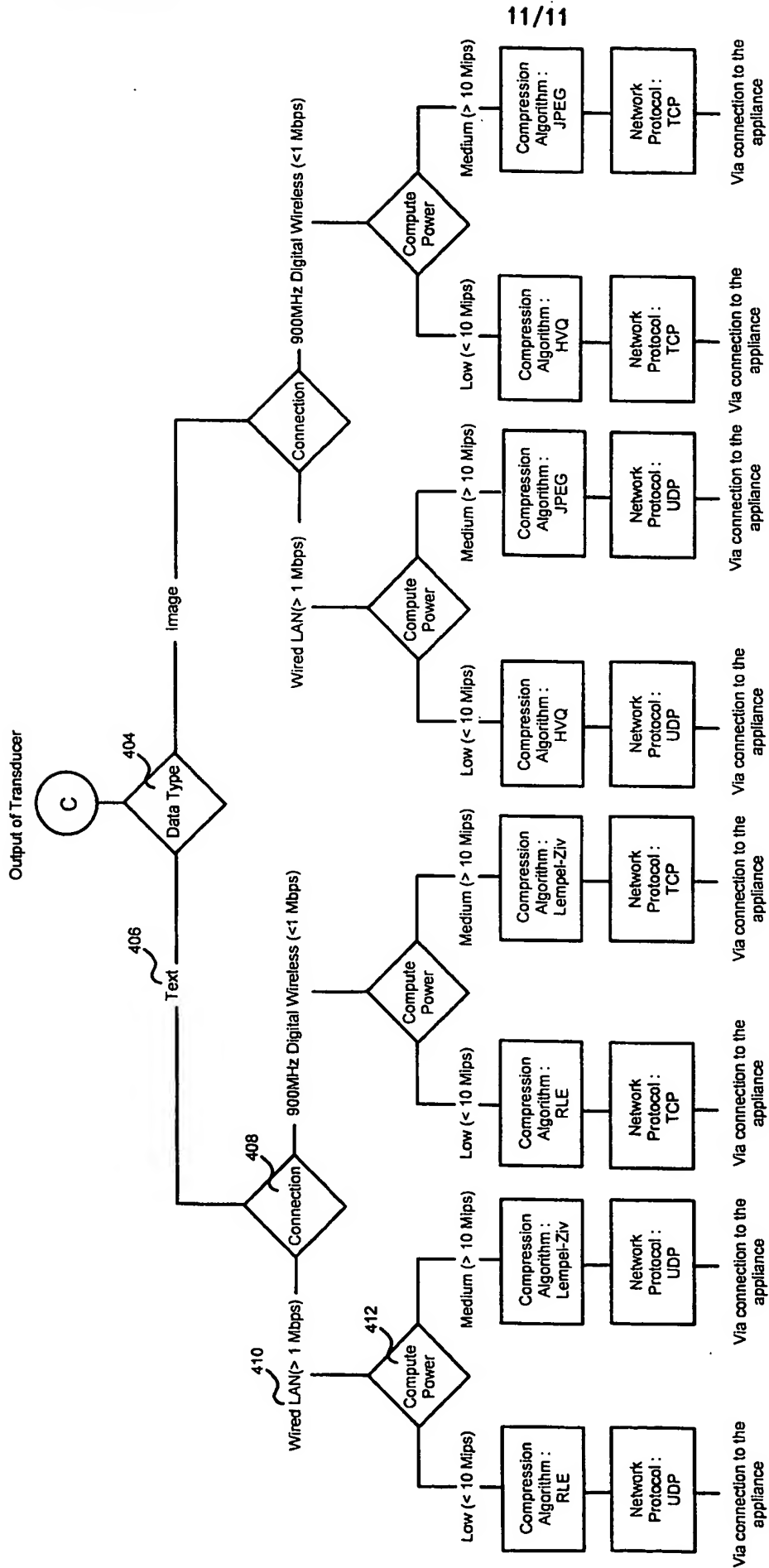


Figure 9B

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/12955

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04L12/28 G06F17/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SARTIN R: "HP JETSEND: OFF-THE-CUFF COMMUNICATION" BYTE, vol. 23, no. 4, 1 April 1998 (1998-04-01), page 49/50 XP000774142 ISSN: 0360-5280 the whole document --- -/--	1,7-13

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Z" document member of the same patent family

Date of the actual completion of the international search

11 October 1999

Date of mailing of the international search report

20/10/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Katerbau, R

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/12955

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BICKMORE T W ET AL: "Digester: device-independent access to the World Wide Web" COMPUTER NETWORKS AND ISDN SYSTEMS, vol. 29, no. 8-13, 1 September 1997 (1997-09-01), page 1075-1082 XP004095305 ISSN: 0169-7552 abstract page 1076, column 2, line 28 -page 1077, column 1, line 27 -----	1,7-13
A	US 5 570 085 A (BERTSCH LUDO A) 29 October 1996 (1996-10-29) abstract column 1, line 1 -column 2, line 33 column 3, line 34 -column 3, line 42 -----	1,7-13

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/12955

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5570085 A	29-10-1996	US 5842032 A	24-11-1998
		US 5938757 A	17-08-1999
		AU 5929190 A	07-01-1991
		WO 9015394 A	13-12-1990
<hr/>			